ETAG 029
GUIDELINE FOR EUROPEAN TECHNICAL APPROVAL of METAL INJECTION ANCHORS FOR USE IN MASONRY Edition June 2010

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The European Technical Approval, according to the Construction Products Directive, is a favourable technical assessment of the fitness for use of a construction product and the technical specification of the assessed product, serving as basis for the CE marking of this product when and where a harmonised standard according to the Directive is not or not yet available.

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1 SCOPE OF THE ETAG

The ETAG "METAL INJECTION ANCHORS FOR USE IN MASONRY" covers the assessment of post-installed injection anchors placed into pre-drilled holes in masonry and anchored by bonding and mechanical interlock.

The injection anchors are intended to be used for anchorages for which requirements for mechanical resistance and stability and safety in use in the sense of the Essential Requirements N° 1 (ER1) and N° 4 (ER4) of the CPD [1] shall be fulfilled; failure of anchorages made with these products would cause an immediate risk to human life and possible lead to considerable economic consequences. They are for fixing and/or supporting structural elements (which contribute to the stability of the works) or heavy units.

The fixture can be supported either statically determinate (one or two supports) or statically indeterminate (more than two supports).

The following annexes are full parts of the ETAG:
- Annex A: Details of tests
- Annex B: Recommendations for tests to be carried out on construction works (informative)
- Annex C: Design methods for anchorages

1.1. Definition of the construction product

1.1.1 Types and operating principles

This ETAG applies to injection anchors consisting of a threaded rod, deformed reinforced bar, internal threaded socket, or other shapes and the mortar, placed into drilled holes in masonry and anchored by bonding the metal part to the sides of the drilled hole by means of mortar and by mechanical interlock (see Figure 1.1). For proper injection of the mortar, mesh sleeves made of metal or plastic are also covered in this ETAG (see Figure 1.2). Injection anchors are supplied and used as a unit. However if the metal parts are commercial standard parts supplied by another party than the approval holder (e.g. manufacturer of standard rods), the conditions according to 4.3 have to be fulfilled.

1.1.2 Materials

This ETAG applies to anchors in which all the metal parts directly anchored in the masonry and designed to transmit the applied loads are made of either carbon steel, stainless steel or malleable cast iron.

The bonding material may be manufactured from cementitious mortar (grout), synthetic mortar or a mixture of the two including fillers and/or additives.

1.1.3 Dimensions

This ETAG applies to anchors with a minimum thread size of 6 mm (M6).
The minimum anchorage depth of the anchor min \( h_a \) shall be 50 mm.

This ETAG applies to applications where the minimum thickness of the masonry members in which injection anchors are installed is at least \( h = 100 \) mm.

Anchors with internal thread are covered only if they have a thread length of at least \( d + 5 \) mm after taking account of possible tolerances.
Figure 1.1 Example of injection anchors
Threaded anchor rod set in solid brick using injection mortar

Threaded anchor rod set in perforated brick using injection mortar and mesh sleeve

Threaded anchor rod set in hollow block using injection mortar and mesh sleeve

Figure 1.2 Example of injection anchors
1.1.4 Base material (masonry)

This ETAG applies to the use of injection anchors in masonry units of clay, calcium silicate, normal weight concrete, lightweight aggregate concrete (solid and hollow format blocks), autoclaved aerated concrete or other similar materials. As far as the specification of the different masonry units is concerned, EN 771-1 to 5:2003+A1:2005 [2] may be taken as reference. The design and construction of masonry structures in which the injection anchors are to be anchored shall be in accordance with EN 1996-1-1:2005 [6] and the relevant national regulations.

Attention is drawn to the fact that the standards for masonry are not very restrictive with regard to details of units (e.g. type, dimensions and location of hollows, number and thickness of webs). Anchor resistance and load displacement behaviour, however, decisively depend on these influencing factors.

Usually solid masonry units do not have any holes or cavities other than those inherent in the material. However, solid units may have a vertical perforation of up to 15% of the cross section. Therefore testing in solid material cover units with vertical perforation of up to 15% cross section is also required.

Masonry units consisting of hollow or perforated units have a certain volume percentage of voids which pass through the masonry unit. For the assessment of injection anchors anchored in hollow or perforated units it has also to be assumed that the anchor may be situated in solid material (e.g. joints, solid part of unit without holes) so that also tests in solid material may be required.

1.2 Intended use of the construction product

The injection anchors are intended to be used for anchorages for which requirements for mechanical resistance and stability and safety in use in the sense of the Essential Requirements N° 1 (ER1) and N° 4 (ER4) of the CPD shall be fulfilled; failure of anchorages made with these products would cause an immediate risk to human life and possible lead to considerable economic consequences. They are for fixing and/or supporting structural elements (which contribute to the stability of the works) or heavy units.

This ETAG applies to anchors subject to static or quasi-static actions in tension, shear or combined tension and shear or bending; it is not applicable to anchors loaded in compression or subject to fatigue, impact, or seismic actions.

This ETAG covers applications only where the masonry members in which the anchors are embedded are subject to static or quasi-static actions.

1.3 Assumed working life of the construction product

The provisions and the verification and assessment methods included or referred to in this ETAG have been written based upon the assumed working life of the injection anchors for the intended use of 50 years when installed in the works, provided that the injection anchors is subject to appropriate installation and use (see 4.3). These provisions are based upon the current state of the art and the available knowledge and experience.

"Assumed working life" means that, when an assessment following the ETAG provisions is made, and when this working life has elapsed, the real working life may be, in normal use conditions, considerably longer without major degradation affecting the Essential Requirements.

The indications of durability (linked to the working life) of the construction product cannot be interpreted as a guarantee given by the product manufacturer or his representative or the Approval Body issuing the ETA, but are regarded only as a means for choosing the appropriate products in relation to the expected economically reasonable working life of the works (see 5.2.2 of the Interpretative Documents).

1.4 Terminology

1.4.1 Common terms relating to the Construction Products Directive

For the meaning of these terms see EOTA document "Common terms used in Guidelines for European Technical Approval" published on the EOTA website.
1.4.2 Specific terms used in this ETAG

1.4.2.1 General

Anchor = a manufactured, assembled component including bonding materials for achieving anchorage between the base material (masonry) and the fixture.

Anchor group = several anchors (working together)

Fixture = component to be fixed to the masonry

Anchorage = an assembly comprising base material (masonry), anchor or anchor group and component fixed to the masonry.

1.4.2.2 Anchors

The notations and symbols frequently used in this Guideline are given below. Further particular notation and symbols are given in the text.

- b = width of the member of the base material
- c = edge distance
- ccr,N = edge distance for ensuring the transmission of the characteristic tensile resistance of a single injection anchor
- cmin = minimum allowable edge distance
- d = anchor bolt/thread diameter
- do = drill hole diameter
- dcut = cutting diameter of drill bit
- dcut,m = medium cutting diameter of drill bit
- df = diameter of clearance hole in the fixture
- dnom = outside diameter of anchor
- h = thickness of masonry member (wall)
- hmin = minimum thickness of masonry member
- h0 = depth of cylindrical drill hole at shoulder
- h1 = depth of drilled hole to deepest point
- hef = effective anchorage depth
- hnom = overall anchor embedment depth in the masonry
- lunit = length of the masonry unit
- s = spacing of the injection anchor
- scr,N = spacing for ensuring the transmission of the characteristic resistance of a single injection anchor
- smin = minimum allowable spacing
- scr,test = spacing of a group of anchors in the relevant test
- T = torque moment
- Tinst = installation torque moment recommended by the manufacturer
- Tu = maximum torque moment during failure
- tfix = thickness of fixture
- t = thickness of outer web of the brick

1.4.2.3 Base materials (masonry) and metal parts of anchor

- ρ = bulk density of masonry unit
- fb = normalised mean compression strength of masonry unit
- fb,test = mean compression strength of the test masonry unit at the time of testing
- fy,test = steel tensile yield strength in the test
- fyk = nominal characteristic steel yield strength
- fuk = nominal characteristic steel ultimate strength
- fu,test = steel ultimate tensile strength in the test

1.4.2.4 Loads/forces

- F = force in general
- N = normal force (+N = tension force)
- V = shear force
- M = moment
- NRk,Vrk = characteristic anchor resistance (5 %-fractile of results) under tension or shear force respectively
- Nsh (Vsh) = design value of tensile load (shear load) acting on the most stressed anchor of an anchor group
1.4.2.5 Tests

- \( F_{Ru} \) = ultimate load in a test
- \( \bar{F}_{Ru} \) = mean ultimate load in a test series
- \( F_{Rk} \) = 5 %-fractile of the ultimate load in a test series
- \( n \) = number of tests of a test series
- \( v \) = coefficient of variation
- \( \delta(\delta_N, \delta_v) \) = displacement (movement) of the anchor at the masonry surface relative to the masonry surface in direction of the load (tension, shear) outside the failure area. The displacement includes the steel and masonry deformations and a possible anchor slip.
- \( \alpha \) = ratio of test value / reference value, for instance

1.4.2.6 Temperature terms

- **Service temperature range**: Range of ambient temperatures after installation and during the lifetime of the anchorage.
- **Short term temperature**: Temperatures within the service temperature range which vary over short intervals, e.g. day/night cycles and freeze/thaw cycles.
- **Maximum short term temperature**: Upper limit of the service temperature range.
- **Long term temperature**: Temperature, within the service temperature range, which will be approximately constant over significant periods of time. Long term temperatures will include constant or near constant temperatures, such as those experienced in cold stores or next to heating installations.
- **Maximum long term temperature**: Specified by the manufacturer within the range of 0.6 times to 1.0 times the maximum short term temperature.
- **Normal ambient temperature**: Temperature 21°C ± 3°C (for test conditions only)
- **Open time**: The maximum time from end of mixing to when the insertion of the anchor into the bonding material shall be completed.
- **Installation ambient temperature range**: The environmental temperature range of the base material allowed by the manufacturer for installation.
- **Anchor component installation temperature range**: The temperature range of the bonding material and embedded part immediately prior to installation.
- **Curing time**: The minimum time from the end of mixing to the time when the anchor may be torqued or loaded (whichever is longer). The curing time depends on the ambient temperature.

1.5 Procedure in the case of a significant deviation from the ETAG

The provisions of this ETAG apply to the preparation and issue of European Technical Approvals in accordance with Art. 9.1 of the CPD and section 3.1 of the Common Procedural Rules.

In cases in which a certain provision of this ETAG is not or not fully applicable or a particular aspect of a product and/or intended use to be assessed is not or not sufficiently covered by the methods and criteria of the ETAG, the procedure of Art. 9.2 of the CPD and section 3.2 of the Common Procedural Rules applies with regard to the deviation or aspect concerned.
2 ASSESSMENT OF FITNESS FOR USE

2.1 Meaning of "fitness for use"

"Fitness for (the intended) use" of a construction product means that the product has such characteristics that the works in which it is to be incorporated can, if properly designed and built,

1. satisfy the Essential Requirements when and where such works are subject to regulations containing such requirements (CPD Art. 2.1) and

2. be fit for their intended use, account being taken of economy, and in this connection satisfy the Essential Requirements for an economically reasonable working life, if normally maintained (see CPD Annex I, sentence 1 and 2).

2.2 Elements of the assessment of fitness for use

The assessment of the fitness of a construction product for its intended use includes:

- the identification of the characteristics of the product which are relevant to its fitness for use (in the following referred to as "regulatory characteristics");
- the establishment of methods for the verification and assessment of the regulatory product characteristics and the expression of the respective product performances;
- the identification of such regulatory characteristics to which the option "No Performance Determined" applies for the reason that in one or more Member States they are not relevant for the fulfilment of the requirements applicable to the works;
- the identification of such regulatory characteristics for which limit values (threshold values) have to be respected for technical reasons.
2.3 Relationship of requirements to the product characteristics and methods of verification and assessment

2.3.1 Relationship of requirements to the product characteristics

The product characteristics, methods of verification and assessment criteria which are relevant for the fitness of injection anchors for the intended use referred to in 1.2 are given in Table 2.1.

Table 2.1 - Product characteristics and methods of verification and assessment

<table>
<thead>
<tr>
<th>No</th>
<th>Product characteristic</th>
<th>Option &quot;No Performance Determined&quot;</th>
<th>Method of verification and assessment</th>
<th>Expression of product performance</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1)</td>
<td></td>
<td>(2)</td>
<td>(3) (4)</td>
<td>(5)</td>
</tr>
<tr>
<td>Essential Requirement 1: Mechanical resistance and stability</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1</td>
<td>Suitability under normal site conditions: Requirements for an acceptable load/displacement behaviour, a certain ultimate load, a certain limited scatter</td>
<td>no</td>
<td>2.4.1 and 2.4.2</td>
<td>Influence factors on the load bearing behaviour of the anchor in accordance with the criteria of 2.4.2</td>
</tr>
<tr>
<td>2</td>
<td>Admissible service conditions: - load bearing behaviour of the anchor for tension/shear/combined tension and shear/bending - Required spacing and edge distance of the anchor - Minimum spacing and minimum edge distance of the anchor - Displacement for serviceability limit state of the anchor</td>
<td>no</td>
<td>2.4.1 and 2.4.2</td>
<td>2.4.2.2.3 - Characteristic resistance for tension/shear/combined tension and shear/bending - Characteristic spacing and edge distance of the anchor - Minimum spacing and minimum edge distance of the anchor - Displacement for serviceability limit state of the anchor</td>
</tr>
<tr>
<td>Essential Requirement 2: Safety in case of fire</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Reaction to fire</td>
<td>no</td>
<td>2.5.1</td>
<td>Anchorages satisfy requirements for Class A1 (see 2.5.1)</td>
</tr>
<tr>
<td>4</td>
<td>Resistance to fire</td>
<td>no</td>
<td>2.5.2</td>
<td>No classification for the anchor itself</td>
</tr>
<tr>
<td>Essential Requirement 3: Hygiene, health and environment</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>Model clauses</td>
<td>no</td>
<td>2.6</td>
<td>Model clauses</td>
</tr>
<tr>
<td>Essential Requirement 4: Safety in use</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>The same criteria are valid as for Essential Requirement 1</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Essential Requirement 5: Protection against noise</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>none</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Essential Requirement 6: Energy economy and heat retention</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>none</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>General aspects relating to fitness for use*)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>Suitability against environmental conditions</td>
<td>no</td>
<td>2.7.1</td>
<td>Resistance against environmental conditions</td>
</tr>
</tbody>
</table>

*) Aspects of durability and economy of the works (see CPD Annex 1, sentence 1 and 2) which are not dealt with under Essential Requirements 1 and 4. Such aspects are also referred to as "serviceability".
2.3.2 Use categories

The Guideline applies to anchorages in respect to the following use categories:

2.3.2.1 Use categories in respect of the base material:

Use category b: Metal injection anchors for use in solid\textsuperscript{1} masonry
Use category c: Metal injection anchors for use in hollow or perforated masonry
Use category d: Metal injection anchors for use in autoclaved aerated concrete masonry

Use category c covers also use category b\textsuperscript{1}

1) \textsuperscript{1} covers also units with vertically perforation of up to 15\% cross section

2.3.2.2 Use categories in respect of installation and use:

Category d/d- Installation and use in structures subject to dry, internal conditions,
Category w/d- Installation in wet substrate and use in structures subject to dry, internal conditions,
Category w/w- Installation and use in structures subject to other environmental conditions (e.g. wet).

Use category w/w covers also use category w/d.

2.3.2.3 Use categories in respect to the service temperature range:

The functioning of an injection anchor, including its ability to continue to withstand its design load with an appropriate safety factor and to limit displacements, shall not be adversely affected by temperatures in the base material near to the surface within a temperature range to be specified by the manufacturer which may be either:

- \( (Ta) \) - 40°C to + 40°C (max short term temperature + 40°C and max long term temperature + 24°C)
- \( (Tb) \) - 40°C to + 80°C (max short term temperature + 80°C and max long term temperature + 50°C)
- \( (Tc) \) on manufacturer's request with – 40°C to T1 (short term: T1>+40°C, long term:0.6 T1 to 1.0 T1)

Injection anchors are not affected by service temperatures down to - 40°C. If there is no experience for unknown bonding materials on their performance at - 40°C then normal pull-out tests at - 40°C will be required.

The performance shall not be adversely affected by short term temperatures within the service temperature range or by long term temperatures up to the maximum long term temperature.

Performance at the maximum long term temperature and maximum short term temperature is checked by tests described in 2.4.1.1.2

2.4 Product characteristics which are relevant for the fitness for use relating to Mechanical Resistance and Stability (ER 1)

2.4.1 Method of verification (General)

The tests involved in the assessment of injection anchors fall into 3 categories:

1) Tests for confirming their suitability (see 2.4.1.1)
2) Tests for evaluating the admissible service conditions (see 2.4.1.2)
3) Tests for checking durability (see 2.7.1)

The details of tests are given in Annex A.

It is assumed that for each injection anchor size there is only one anchorage depth. If the injection anchors are intended to be installed with two anchorage depths the tests have to be carried out at both depths.

2.4.1.1 Tests for suitability

The purpose of the suitability tests is to establish whether an anchor is capable of safe, effective behaviour in service including consideration of adverse conditions both during site installation and in service.

The types of suitability tests, test conditions, the number of required tests and the criteria applied to the results shall be taken in accordance with Table 2.4.1. Detailed information about special tests are given in the chapters after the Table.
The tests may be performed in single units or in a wall. If test are done in a wall, the thickness of the joints shall be about 10 mm and the joints shall be completely filled with mortar of strength class M2.5 with a strength \( \leq 5 \text{ N/mm}^2 \). If tests have been performed in walls with a mortar strength higher than M2.5 then the minimum mortar strength shall be given in the approval. The units for test members of AAC may be glued together. The walls may be lightly prestressed in vertical direction to allow handling and transportation the wall.

The suitability tests for use categories b (use in solid masonry) and c (use in hollow or perforated masonry) shall be carried out in solid masonry; however, line 5 of Table 2.4.1 (maximum torque moment) shall be done in hollow or perforated masonry as well. The suitability tests for use category d (use in autoclaved aerated concrete masonry) shall be done in autoclaved aerated concrete.

The anchors shall be installed according to the installation instructions of the manufacturer (exception: installation tests) in a horizontal direction in the centre of the brick. The drill holes shall be drilled using drill bits. If the approval is to cover more than one drilling technique, then all tests shall be done with all drilling techniques.

**Table 2.4.1 Suitability tests for injection anchors to be used in masonry**

<table>
<thead>
<tr>
<th>Purpose of test</th>
<th>Ambient Temperature</th>
<th>Minimum number of tests for anchor size</th>
<th>Criteria</th>
<th>Test procedure suitability tests</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>s</td>
<td>i</td>
<td>m</td>
</tr>
<tr>
<td>1 Installation in (a) dry substrate normal</td>
<td>5</td>
<td>5</td>
<td>5</td>
<td>5</td>
</tr>
<tr>
<td>(b) wet substrate (3) normal</td>
<td>5</td>
<td>5</td>
<td>5</td>
<td>5</td>
</tr>
<tr>
<td>2 Functioning, effect of temperature (4) 0°C(5) +50°C(6) +80°C(6)</td>
<td>5</td>
<td>5</td>
<td>5</td>
<td>5</td>
</tr>
<tr>
<td>3 Functioning under repeated loads normal</td>
<td>-</td>
<td>-</td>
<td>5</td>
<td>-</td>
</tr>
<tr>
<td>4 Functioning under sustained loads normal + 50°C(6)</td>
<td>-</td>
<td>-</td>
<td>5</td>
<td>-</td>
</tr>
<tr>
<td>5 Maximum torque moment normal 5</td>
<td>5</td>
<td>5</td>
<td>5</td>
<td>5</td>
</tr>
<tr>
<td>6 Functioning under freeze/thaw condition (8) normal</td>
<td>-</td>
<td>-</td>
<td>5</td>
<td>-</td>
</tr>
<tr>
<td>7 checking durability of the bonding material</td>
<td>see 2.7.1.2</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Notes to Table 2.4.1**

1. For use categories b and c tests are made in solid masonry; however, line 5 (maximum torque moment) also requires testing in hollow masonry for use category d all tests in autoclaved aerated concrete
2. Anchor size: s = smallest; i = intermediate; m = medium; l = largest
3. For use category d (dry) and for use category d (autoclaved aerated concrete) not necessary
4. Confined tests for hollow material under temperature are on the safe side, therefore unconfined tests are also allowed if detailed analysis is available and the Approval Body agrees.
5. Minimum installation temperature as specified by the manufacturer; normally 0 °C to + 5 °C
6. For temperature range (Tb), for other temperature range see 2.3.2.3
7. Reference values from the tests with maximum long term temperature +50°C
8. For use category w/w only
9. If requirement does not meet, provisions are given in 2.4.2.1
2.4.1.1 Installation in dry or wet substrate

(a) Installation in dry substrate; **these tests have to be done for all use categories**

Confined tension tests in dry solid masonry according to Annex A, A.5.4 a).

(b) Installation in wet substrate; **for use category d/d (dry) and for use category d (autoclaved aerated concrete) not necessary**

Confined tension tests in wet solid masonry according to Annex A, A.5.4 b).

2.4.1.2 Influence of temperature on characteristic resistances

a) Effect of increased temperature

The confined tension tests shall be carried out according to Annex A, A.5.5 a) for the different temperature ranges given in 2.3.2.3.

b) Effect of low installation temperature

The confined tension tests shall be carried out at the end of the curing time while maintaining the temperature of the test member at the specified lowest installation temperature ± 2K. Details of the tests are described in Annex A, A.5.5 b).

c) Minimum curing time at normal ambient temperature

Perform tension tests according to Annex A, A.5.5 c) at normal ambient temperature at the corresponding minimum curing time specified by the manufacturer.

2.4.1.3 Repeated loading

The injection anchor is subjected to $1 \times 10^5$ load cycles with a maximum frequency of approximately 6 Hz. After completion of the load cycles the anchor shall be unloaded, the displacement measured and a tension test performed according to Annex A. Details of the tests are described in Annex A, A.5.6.

2.4.1.4 Sustained loading

The test is performed at normal temperature ($T= + 21^\circ C$) for temperature range (Ta), (Tb) and (Tc) and at maximum steady temperature for temperature range (Tb) and (Tc) [$T= + 50^\circ C$ for temperature range b)]. The anchor shall be installed at normal temperature subjected to a tension (sustained) load.

After completion of the sustained load test the anchor shall be unloaded, the displacement measured and immediately after unloading a tension test performed. Details of the tests are described in Annex A, A.5.7.

2.4.1.5 Maximum torque moment

The torque moment shall be measured with a calibrated torque moment transducer. The torque moment shall be increased until failure of the injection anchor. Details of the tests are described in Annex A, A.5.8.

2.4.1.6 Functioning under freeze/thaw conditions

In general the tests are carried out for injection anchors with a service condition in wet substrate only. The tests are performed in freeze-thaw resistant base material. The tests may also be carried out in freeze-thaw resistant concrete C50/60; in this case the corresponding reference tests are required in concrete under normal conditions as well.

The displacements shall be measured during the temperature cycles.

After completion of 50 cycles carry out a tension test at normal ambient temperature. Details of the tests are described in Annex A, A.5.9.
2.4.1.2 Tests for evaluating the admissible service conditions

The tests may be performed in single units or in a wall. If test are done in a wall, the thickness of the joints shall be about 10 mm and the joints shall be completely filled with mortar of strength class M2.5 with a strength ≤ 5 N/mm². If tests have been performed in walls with a mortar strength higher than M2.5 then the minimum mortar strength shall be given in the approval. The units for test members of AAC may be glued together. The walls may be lightly prestressed in vertical direction to allow handling and transportation.

For determination of the admissible service conditions, the tests given in Table 2.4.2 shall be carried out. If existing information is available from the manufacturer and the corresponding test report contains all relevant data, then the Approval Body may reduce the number of tests for admissible service conditions, making use of this existing information. However, it will be considered in the assessment only if the results are consistent with the test results of the Approval Body.

All tests for determination of admissible service conditions shall be carried out according to Annex A in the base material for which the injection anchor is intended to be used at normal ambient temperature (+21°C ± 3°C). The drill holes shall be drilled using $d_{cut,m}$ drill bits.

The minimum edge distance $c_{min}$ and minimum spacing $s_{min}$ shall be given by the manufacturer and shall be confirmed by the corresponding tests.

The determined characteristic resistances for the approval are valid only for the bricks and blocks which are used in the tests regarding base material (masonry or aerated concrete), size of units, compressive strength and configuration of the voids. Therefore the following information has to be given in the test report and in the approval:

Base material, size of units, normalised compressive strength; volume of all holes (% of the gross volume); volume of any hole (% of the gross volume); minimum thickness in and around holes (web and shell); combined thickness of webs and shells (% of the overall width); appropriation to a group of Table 3.1 of EC 06 [6]. As far as the specification of the different masonry units is concerned, EN 771-1 to 5:2003 +A1:2005 [2] may be taken as reference.

The characteristic resistance of the anchor may be determined by "job site tests" according to Annex B, if the anchor has an approval with characteristic values for the same base material as it is present on the construction works. Furthermore job site tests for use in solid masonry are possible only if the injection anchor has an approval for use in solid masonry and job site tests for use in hollow or perforated masonry are possible only if the injection anchor has an approval for use in hollow or perforated masonry.
Table 2.4.2  Tests for admissible service conditions for injection anchors for use in masonry

<table>
<thead>
<tr>
<th></th>
<th>Purpose of test</th>
<th>Load direction</th>
<th>Distances</th>
<th>Member thickness h</th>
<th>Remarks</th>
<th>Number of tests (2)</th>
<th>Test procedure described in Annex A</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Reference tension tests for suitability tests (1)</td>
<td>N</td>
<td>s &gt; s_{cr,N} &lt;br&gt; c &gt; c_{cr,N}</td>
<td>≥ h_{min}</td>
<td>test with single anchors</td>
<td>5 5 5</td>
<td>Annex A, A.5.1</td>
</tr>
<tr>
<td>2</td>
<td>Characteristic resistance for tension loading not influenced by edge and spacing effects (2)</td>
<td>N</td>
<td>s &gt; s_{cr,N} &lt;br&gt; c &gt; c_{cr,N}</td>
<td>≥ h_{min}</td>
<td>test with single anchors</td>
<td>5 5 5</td>
<td>Annex A, A.5.2</td>
</tr>
<tr>
<td>3</td>
<td>Characteristic resistance for shear loading not influenced by edge and spacing effects (2)</td>
<td>V</td>
<td>s &gt; s_{cr,N} &lt;br&gt; c &gt; c_{cr,N}</td>
<td>≥ h_{min}</td>
<td>test with single anchors</td>
<td>5 5 5</td>
<td>Annex A, A.5.3</td>
</tr>
<tr>
<td>4</td>
<td>Minimum edge distance for characteristic tension resistance(3)</td>
<td>N</td>
<td>s &gt; s_{min} &lt;br&gt; c = c_{min}</td>
<td>= h_{min}</td>
<td>test with single anchors</td>
<td>5 5 5</td>
<td>Annex A</td>
</tr>
</tbody>
</table>

Notes to Table 2.4.2

1. Reference tension tests for determination of the results of the suitability tests. They have to be carried out on the same masonry units regarding base material, size of units and compressive strength as used for the corresponding suitability tests. The results of reference tests may also be considered for evaluating the characteristic resistance of the anchors.

2. The tests shall be carried out at the most unfavourable setting position in the brick of hollow or perforated masonry, which give the lowest characteristic resistance of the anchor.

3. Tension tests with single anchors near the free edge of a wall to determine the characteristic resistance depending on the minimum edge distance c_{min}.

   This test may be omitted if the minimum edge distances and spacing are greater than the following values:

   \[
   s_{min} = c_{min} = \geq 50 \text{mm} \geq 3 \cdot d_{o} \quad \text{for solid material}
   \]

   \[
   s_{min} = c_{min} = \geq 100 \text{mm} \geq 6 \cdot d_{o} \quad \text{for hollow material}
   \]

4. For determination of a group of two or four injection anchors the following spacing may be used:

   for anchorages in solid masonry and AAC: \[s_{cr,N} = 20d\]
   for anchorages in hollow or perforated masonry: \[s_{cr,N} = l_{unit}\]

   \[l_{unit} = \text{given in the approval (length of masonry unit in the tests)}\]

The spacing s_{cr,N} may also be evaluated by appropriate tests with a anchor group of two anchors with \[s_{cr,test}\]

\[s_{cr,N} = \text{shall be given in the approval (spacing of a group of anchors in the tests)}\]
2.4.2 Method of assessing and judging (General)

This sub-clause details the assessing and judging of the injection anchors related to the intended use, using the verification methods of 2.4.1.

(a) 5%-fractile of the ultimate loads

The 5%-fractile of the ultimate loads measured in a test series is to be calculated according to statistical procedures for a confidence level of 90%. If a precise verification does not take place, a normal distribution and an unknown standard deviation of the population shall be assumed.

\[ F_{5\%} = F \left( 1 - ks \cdot \alpha \right) \]  

\[ \alpha = \frac{1}{2} \] for masonry units of clay or concrete and solid unit of calcium silicate

\[ \alpha = 0.75 \] for masonry units of perforated calcium silicate (in this connection the range in the unit strength in the tests is limited to ± 100% of the nominal strength of the unit for the characteristic resistance)

(b) Conversion of ultimate loads to take account of masonry and steel strength

Masonry unit strength:

In some cases it can be necessary to convert the results of a test series to correlate with a unit strength different from that of the test unit. In the case of unit failure, this conversion shall be carried out according to Equation (2.4.2)

\[ F_{Ru} (f_b) = F_{Ru}^l \cdot \left( \frac{f_b}{f_{b,test}} \right)^\alpha \]  

\[ \alpha = 0.5 \] for masonry units of clay or concrete and solid unit of calcium silicate

\[ \alpha = 0.75 \] for masonry units of perforated calcium silicate (in this connection the range in the unit strength in the tests is limited to ± 100% of the nominal strength of the unit for the characteristic resistance)

In the case of pull-out failure the influence of the unit strength on the failure load shall be established. In the absence of better information, Equation (2.4.2) may be used as an approximation.

Auto clave aerated concrete units strength:

General:

The tests results shall be converted as far as compressive strength and dry density are concerned.

Compressive strength:

For AAC blocks the characteristic compressive strength shall be determined from the declared value of compressive strength according to EN 771-4:2003 + A1:2005 using the factor of 0.9.

\[ f_{ck} = 0.9 f_{c,decl} \]

Dry density:

As reference values of dry density the following minimum values of dry density shall be used for low and high strength AAC for conversion of the test results:

\[ \rho_{min} = 350 \text{ kg/m}^3 \] for AAC 2

\[ \rho_{min} = 650 \text{ kg/m}^3 \] for AAC 7

Conversion of test results:

The test results obtained for low and high strength AAC shall be converted using the following Equation:

\[ F_{Ru}^{1k} = F_{Ru}^l \cdot \frac{f_{ck}}{f_{c,test}} \]  

From the above, the 5 % -fractile for the ultimate load shall be derived.

Characteristic failure load (ultimate load) of the different strength of AAC:

For the strength between low and high strength AAC the characteristic failure loads shall be determined by linear interpolation of the converted test results.
Steel strength:

In case of steel failure the failure load shall be converted to the nominal steel strength by Equation (2.4.4)

\[ F_{Ru} (f_{uk}) = F_{Ru} \cdot \frac{f_{uk}}{f_{u,\text{test}}} \]  

(2.4.4)

where:

- \( F_{Ru} (f_{uk}) \) = failure load at nominal steel ultimate strength

(c) **In all tests the following criteria shall be met:**

1. The load-displacement curves shall show a steady increase (see Figure 2.4); uncontrolled slip of injection anchors is not allowed.

   Uncontrolled slip occurs when the mortar with the embedded part is pulled out of the drilled hole (because then the load displacement behaviour depends significantly on irregularities of the drilled hole). The corresponding load when uncontrolled slip starts is called load at loss of adhesion \( N_{u,\text{adh}} \).

   For the requirement on the load-displacement curves with respect to uncontrolled slip the following evaluation shall be done:

   \( N_{u,\text{adh}} \) shall be evaluated for every test from the measured load displacement curve. In general the load at loss of adhesion is characterised by a significant change of stiffness, see Figure 2.4 a. If the change in stiffness at a defined load is not so obvious e.g. the stiffness is smoothly decreasing, than the load at loss of adhesion shall be evaluated as follows:

   1) Compute the tangent to the load-displacement curve at a load 0.3 \( N_u \) (\( N_u \) = peak load in test). In general the tangent stiffness can be taken as the secant stiffness between the points 0/0 and 0.3 \( N_u/\delta_{0.3} \) (\( \delta_{0.3} \) = displacement at \( N = 0.3 N_u \)).

   2) Divide the tangent stiffness by a factor of 1.5.

   3) Draw a line through the point 0/0 with the stiffness as calculated in 2).

   4) The point of intersection between this line and the measured load-displacement curve gives the load \( N_{u,\text{adh}} \) where the adhesion fails, see Figure 2.4 b.

   If there is a peak in the load-displacement curve to the left side of this line which is higher than the load at intersection then \( N_{u,\text{adh}} \) is taken as the peak load, see Figure 2.4 c.

   If there is a very stiff load-displacement curve at the beginning (\( \delta_{0.3} \leq 0.05\text{mm} \)) then the drawing of the line for the calculation can be shifted to the point (0.3 \( N_u/\delta_{0.3} \)), see Figure 2.4 d.

For all tests, the factor \( \alpha_1 \) shall be calculated according to Equation (2.4.5):

\[ \alpha_1 = \frac{N_{u,\text{adh}}}{N_{Rk,p}} \gamma_4 \gamma_M \]  

(2.4.5)

- \( N_{u,\text{adh}} \) = load at loss of adhesion as defined above
- \( N_{Rk,p} \) = characteristic resistance for pullout failure given in the approval
- \( \gamma_4 \) = 1.3
- \( \gamma_M \) = partial safety factor given in the approval

The minimum value of \( \alpha_1 \) of all tests is decisive. If the value of \( \alpha_1 \) is less than 1.0 then the characteristic resistance \( N_{Rk,p} \) shall be reduced according to 2.4.2.2.3.

The evaluation of the load at loss of adhesion is not required when failure occurs between mortar and embedded part along the entire embedment depth (see definition of uncontrolled slip). In this case the factor \( \alpha_1 \) may be taken as 1.0.
In general, in each test series, the coefficient of variation of the ultimate load shall be smaller than $v = 30\%$ in the suitability tests and $v = 20\%$ in the admissible service condition tests.

If the coefficient of variation of the ultimate load in the suitability test is greater than 30%, then the following $\alpha_V$-value has to be taken into account:

$$\alpha_V = \frac{1}{1 + 0.03 \cdot (v\% - 30)} \leq 1.0 \quad (2.4.6)$$

If the coefficient of variation of the ultimate load in the admissible service condition test is greater than 20%, then the following $\alpha_V$-value has to be taken into account:

$$\alpha_V = \frac{1}{1 + 0.03 \cdot (v\% - 20)} \leq 1.0 \quad (2.4.7)$$
2.4.2.1 Additional criteria valid for suitability tests

In the suitability tests the factor $\alpha$ shall be larger than the value given in Table 2.4.1:

$$\alpha = \text{lesser value of } \frac{N_{Ru,m}^t}{N_{Ru,m}^r} \quad (2.4.8)$$

and

$$\frac{N_{Rk}^t}{N_{Rk}^r} \quad (2.4.9)$$

where:

$N_{Ru,m}^r, N_{Rk}^r$ = mean value or 5%-fractile, respectively, of the ultimate loads in a test series

$N_{Ru,m}^t, N_{Rk}^t$ = mean value or 5%-fractile, respectively, of failure loads in the reference tests.

Reference tests have to be carried out on the same masonry units regarding base material, size of units and compressive strength as used for the corresponding suitability tests.

Equation (2.4.9) is based on test series with a comparable number of test results in both series. If the number of tests in the two series is very different, then Equation (2.4.9) may be omitted when the coefficient of variation of the test series is smaller than or equal to the coefficient of variation of the reference test series or if the coefficient of variation in the suitability tests is $v \leq 15 \%$.

If the criteria for the required value of $\alpha$ (see Table 2.4.1) is not met in a test series, then the factor $\alpha_2$ shall be calculated.

$$\alpha_2 = \frac{\alpha}{\text{req. } \alpha} \quad (2.4.10)$$

where:

$\alpha$ = lowest value according to Equation (2.4.8 or 2.4.9) in the test series

req. $\alpha$ = required value of $\alpha$ according to Table 2.4.1

2.4.2.1.1 Installation in dry or wet substrate

The required $\alpha$ in the tests is $\geq 0.8$. If the requirements concerning $\alpha$ are not fulfilled, $\alpha_2$ shall be calculated according to Equation (2.4.10).

2.4.2.1.2 Influence of temperature on characteristic resistances

a) Effect of increased temperature

The required $\alpha$ for the tests at maximum long term temperature is:

- req. $\alpha \geq 1.0$ for temperature ranges (Tb) (T=+ 50°C) and (Tc) (0.6T1 to 1.0T1, chosen by the manufacturer)

The required $\alpha$ for the maximum short term temperature are:

- req. $\alpha \geq 0.8$ of the results of maximum long term temperature (24°C for temperature range Ta)
- req. $\alpha \geq 0.8$ of the results of maximum long term temperature (80°C for temperature range Tb)
- req. $\alpha \geq 0.8$ of the results of maximum long term temperature (T1 temperature chosen by the manufacturer for temperature range Tc)

If the requirements concerning $\alpha$ are not fulfilled in the tests at the maximum long term or maximum short term temperature, $\alpha_2$ shall be calculated according to Equation (2.4.10).

b) Effect of low installation temperature

The required $\alpha$ for the tests at the minimum installation temperature is 1.0.

If this condition is not fulfilled, then the minimum installation temperature shall be increased and the tests at minimum installation temperature shall be repeated until the condition is fulfilled.
c) Minimum curing time at normal ambient temperature
The mean failure loads and the 5% fractile of failure loads measured in tests at the normal ambient temperature and corresponding minimum curing time shall be at least 0.9 times to the values measured in reference tests with a "long curing time" in the tests for admissible service conditions. The "long curing time" is the maximum curing time normally used in admissible service condition tests (24 hours for resins, 14 days for cementitious mortars).

If this condition is not fulfilled, then the minimum curing time at normal ambient temperature shall be increased and the corresponding tests shall be repeated or the characteristic resistance for pull-out failure given in the ETA is reduced according to Equation 2.4.10.

2.4.2.1.3 Repeating loading
The increase of displacements during cycling shall stabilise in a manner indicating that failure is unlikely to occur after some additional cycles. This condition may be assumed as fulfilled if the displacements after cycling at max N of the test are smaller than the mean value of the displacements overcoming the friction resistance in the reference tests.
If the above condition on the displacement is not fulfilled, the tests have to be repeated with a lower maximum load (max N) until this condition is fulfilled. Then the characteristic resistance N_{\text{ref}} shall be reduced by the factor max N (applied) / max N (required).
The required \( \alpha \) for the pull-out tests subsequent to the cycling loading is 1.0. If this condition is not fulfilled, \( \alpha_2 \) shall be calculated according to Equation (2.4.10).

2.4.2.1.4 Sustained loading
The displacements measured in the tests have to be extrapolated according to Equation (2.4.11) (Findley approach) to 50 years (tests at normal ambient temperature), or 10 years (tests at maximum long term temperature), respectively.
The curve fitting shall start with the displacement measured after approximately 100 h.

\[
s(t) = s_0 + a \cdot t^b \quad (2.4.11)
\]

\( s_0 \) = initial displacement under the sustained load at \( t = 0 \) (measured directly after applying the sustained load)
\( a, b \) = constants (tuning factors), evaluated by a regression analysis of the deformations measured during the sustained load tests

The extrapolated displacements shall be less than the mean value of the displacements at the load at overcoming the friction resistance in the reference tests.
If this condition is not fulfilled, the tests have to be repeated with a lower load \( N_p \) until the requirement is fulfilled and the characteristic resistance shall be reduced by the factor \( N_p \) (applied) / \( N_p \) (required).

The failure loads measured in the pull-out tests subsequent to the sustained loading shall be compared with the failure loads measured in the reference tests (sustained load tests at normal temperature) or in the suitability tests at maximum long term temperature (sustained load tests at maximum long term temperature). The required \( \alpha \) is 0.9. If this condition is not fulfilled, \( \alpha_2 \) shall be calculated according to Equation (2.4.10).

2.4.2.1.5 Maximum torque moment
The installation of the injection anchor shall be practicable without steel failure, turn-through in the hole or failure of the anchorage.
This condition may be assumed to be fulfilled if the following conditions are met. The ratio of the maximum torque moment \( T_u \) during failure to the installation moment \( T_{\text{inst}} \) recommended by the manufacturer shall be determined for every test. The 5%-fractile of the ratio for all tests shall be at least 2.1. The conversion to the nominal masonry strength may be omitted for these determinations.

2.4.2.1.6 Functioning under freeze/thaw conditions
The rate of displacement increase shall be reduced with increasing number of freeze/thaw cycles to a value almost equal to zero.
2.4.2.2 Criteria for admissible service conditions tests

2.4.2.2.1 General

In all tension tests, the requirement for the load/displacement curves shall satisfy the requirements laid down in 2.4.2 c (1). The requirements on the coefficient of variation of the ultimate loads are given in 2.4.2 c (2).

2.4.2.2.2 Characteristic resistance of a single anchor for the different conditions

The characteristic resistances of the injection anchor for the different failure modes under tension and shear loading shall be evaluated by the corresponding tests to get the required values for the design method according to Annex C.

2.4.2.2.3 Characteristic resistance of a single anchor in the ETA

The characteristic resistances of single anchors without edge and spacing effects under tension loading shall be calculated as follows:

\[
N_{Rk} = N_{Rk,0} \cdot \min(\min \alpha_1, \min \alpha_2, \line 1, 3, 4, 6) \cdot \min \alpha_2, \line 2 \cdot \min \alpha_3 \cdot \min \alpha_V
\]  (2.4.12)

1) The lowest value of \( \min \alpha_1 \) or \( \min \alpha_2, \line 1, 3, 4, 6 \) is used.

with:
\[
N_{Rk,0} = \text{characteristic resistance evaluated from the results of tests according to Table 2.4.2, line 2}
\]
\[
\min \alpha_1 = \text{minimum value } \alpha_1 \text{ (reduction factor from the load/displacement behaviour) according to Equation (2.4.5) of all tests } (\leq 1.0)
\]
\[
\min \alpha_2, \line 2 = \text{minimum value } \alpha_2 \text{ (reduction factor from the ultimate loads in the suitability tests) according to Equation (2.4.10) of suitability tests according to Table 2.4.1, line 2 (temperature) } (\leq 1.0)
\]
\[
\min \alpha_2, \line 1, 3, 4, 6 = \text{minimum value } \alpha_2 \text{ (reduction factor from the ultimate loads in the suitability tests) according to Equation (2.4.10) of suitability tests according to Table 2.4.1, line 1, 3, 4 and 6 (temperature) } (\leq 1.0)
\]
\[
\min \alpha_V = \text{minimum value } \alpha_V \text{ to consider a coefficient of variation of the ultimate loads in the suitability and admissible service condition tests larger than 30% or 20% respectively, Equations (2.4.6) and (2.4.7).}
\]
\[
\min \alpha_3 = \text{minimum value } \alpha_3 \text{ (reduction factor from the durability behaviour) according to Equation (2.7.1) of all tests } (\leq 1.0)
\]

The value of the characteristic resistance \( F_{Rk} \) shall be rounded down to the following numbers:

0.3 / 0.4 / 0.5 / 0.6 / 0.75 / 0.9 / 1.2 / 1.5 / 2 / 2.5 / 3 / 3.5 / 4 / 4.5 / 5 / 6 / 7.5 / 9 kN

The determined characteristic resistances for the approval are valid only for the bricks and blocks which are used in the tests regarding base material, size of units, compressive strength and configuration of the voids. Therefore the following information has to be given in the test report and in the approval:

**Base material, size of units, normalised compressive strength; volume of all holes (% of the gross volume); volume of any hole (% of the gross volume); minimum thickness in and around holes (web and shell); combined thickness of webs and shells (% of the overall width); appropriation to a group of Table 3.1 of EC 06.**

The characteristic resistance of the injection anchor may be determined by "job site tests" according to Annex B, if the anchor has an approval with characteristic values for the same type of base material (e.g. clay, calcium silicate, lightweight aggregate or autoclaved aerated concrete) as it is present on the construction works. Furthermore job site tests for use in solid masonry are possible only if the injection anchor has an approval for use in solid masonry and job site tests for use in hollow or perforated masonry are possible only if the metal injection anchor has an approval for use in hollow or perforated masonry.
2.4.2.2.4 Displacement behaviour

As a minimum, the displacements under short and long term tension and shear loading shall be given in the approval for a load $F$ which corresponds to the value according to Equation (2.4.13).

$$F = \frac{F_{Rk}}{\gamma_F \cdot \gamma_M}$$  \hspace{1cm} (2.4.13)

with: $F_{Rk}$ = characteristic resistance according to 2.4.2.2.3
$\gamma_F$ = 1.4
$\gamma_M$ = corresponding material partial safety factor

The displacements under short term tension loading ($\delta_{NO}$) are evaluated from the tests with single anchors without edge or spacing effects according to Table 2.4.2, line 2. The value derived shall correspond to the 95 %-fractile for a confidence level of 90 %. The long term tension loading displacements $\delta_{N\infty}$ may be assumed to be equal to 2.0-times the value $\delta_{NO}$.

The displacements under short term shear loading ($\delta_{VO}$) are evaluated from the corresponding shear tests with single anchors. The value derived shall correspond to the 95 %-fractile for a confidence level of 90 %. The long term shear loading displacements $\delta_{V\infty}$ may be assumed to be equal to 1.5-times the value $\delta_{VO}$. Under shear loading, the displacements might increase due to a gap between fixture and anchor. The influence of this gap is taken into account in design.

2.5 Verification methods relating to Safety in Case of Fire (ER 2)

2.5.1 Reaction to fire

The metal parts of injection anchors and the cementitious mortar are assumed to satisfy the requirements for Class A1 of the characteristic reaction to fire, in accordance with the provisions of EC Decision 96/603/EC (as amended) without the need for testing on the basis of its listing in that Decision.

The bonding material (synthetic mortar, cementitious mortar or a mixture of the two including fillers and/or additives) is located between the metal anchor rod and the wall of the drilled hole in the end use. The thickness of the mortar layer is about 1 to 2 mm and most of the mortar is material classified class A1 according to EC Decision 96/603/EC. Therefore it may be assumed that the bonding material (synthetic mortar or a mixture of synthetic mortar and cementitious mortar) in connection with the injection anchor in the end use application do not make any contribution to fire growth or to the fully developed fire and they have no influence to the smoke hazard.

In the context of this end use application of the anchorages the bonding material can be considered to satisfy any reaction to fire requirements.

2.5.2 Resistance to fire

It is not possible to classify an anchor itself for its fire resistance. The suitability of an injection anchor for use in a system that is required to provide a specific fire resistance class may be determined by reference to the simplified design method according to chapter 2.2 and the tabulated data given in Technical Report TR 020:2004 [7] “Evaluation of anchorages in concrete concerning Resistance to Fire”. However, an earlier pull-out of the anchor can occur, since the reduction of the strength of the bond mortar at higher temperatures may be decisive. Therefore, the characteristic resistance for pull-out failure shall always, also for the simplified design method according to 2.2, be determined for the certain product by fire tests according to 2.3.1.2. Alternatively, the fire performance of any anchor and its suitability for use in fire resistance applications may be determined using the test procedure detailed in chapter 2.3 of this Technical Report.
2.6 Verification methods relating to Hygiene, Health and the Environment (ER 3)

The product must be such that, when installed according to the appropriate provisions of the Member States, it allows for the satisfaction of the ER3 of the CPD as expressed by the national provisions of the Member States and in particular does not cause harmful emission of toxic gases, dangerous particles or radiation to the indoor environment nor contamination of the outdoor environment (air, soil or water).

2.6.1 Method of verification (Release of dangerous substances)

2.6.1.1 Presence of dangerous substances in the product

The applicant shall submit a written declaration stating whether or not the product contains dangerous substances according to European and national regulations, when and where relevant in the Member States of destination, and shall list these substances.

2.6.1.2 Compliance with the applicable regulations

If the product contains dangerous substances as declared above, the approval will provide the method(s) which has been used for demonstrating compliance with the applicable regulations in the Member States of destination, according to the EU data-base (method(s) of content or release, as appropriate).

2.6.1.3 Application of the precautionary principle

An EOTA member has the possibility to provide to the other members, through the Secretary General, warning about substances which, according to Health authorities of its country, are considered to be dangerous under sound scientific evidence, but are not yet regulated. Complete references about this evidence will be provided.

This information once agreed upon, will be kept in an EOTA data base, and will be transferred to the Commission services.

The information contained in this EOTA data base will also be communicated to any approval applicant.

On the basis of this information, a protocol of assessment of the product, regarding this substance, could be established on request of a manufacturer with the participation of the Approval Body which raised the issue.

2.6.2 Method of assessing and judging (Release of dangerous substances)

The product/kit shall comply with all relevant European and national provisions applicable for the uses for which it is brought to the market. The attention of the applicant shall be drawn on the fact that for other uses or other Member States of destination there may be other requirements which would have to be respected. For dangerous substances contained in the product but not covered by the approval, the NPD option (no performance determined) is applicable.

2.7 Verification methods relating to Durability

2.7.1 Method of verification

2.7.1.1 Tests for checking durability of the metal parts (corrosion)

No special tests are required.

The durability of the coating of the metal part that ensures the suitability and the bearing behaviour of the anchor shall be shown. Furthermore it shall be shown that the coating does not negatively affect the durability of the bonding material. No special test conditions can be given in this Guideline for checking the durability of any coating because this depends on the type of coating. Any appropriate tests shall be decided on by the responsible Approval Body. Zinc coatings (electroplated or hot dip galvanised) need not be subjected to testing if used under dry internal conditions.
2.7.1.2 Tests for checking durability of the bonding material

The durability of the bonding material (except for cementitious mortar) shall be verified by slice tests. With slice tests, the sensitivity of installed anchors to different environmental exposures can be shown. In general the slice tests shall be carried out in concrete. The slice test is described in Annex A, A.5.10 in detail.

Slice tests in an alkaline liquid are required only for applications in use category w/w according to section 2.3.2.2 if the injection anchor is installed in

- masonry from normal weight or lightweight concrete masonry units
- joints of masonry made from clay or calcium silicate units filled with non carbonated cementitious mortar

Slice tests may be omitted for applications in

- masonry made from normal weight or lightweight concrete masonry units if the characteristic resistance is calculated according to Equation (2.4.12) with $\alpha_3 = 0.3$
- joints of masonry units made out of clay or calcium silicate filled with cementitious mortar, if the characteristic resistance of the anchor for the corresponding masonry unit given in the ETA is $N_{Rk} \leq N_{Rk}$ (concrete brick) with $N_{Rk}$ (concrete brick) calculated according to Equation (2.4.12) with $\alpha_3 = 0.5$ or the mortar is carbonated over the embedment depth of the anchor.
Carbonated mortar may be assumed if the structure is sufficiently old (e.g. $\geq 15$ years)

2.7.2 Method of assessing and judging

2.7.2.1 Durability of the metal parts

The assessment/testing required with respect to corrosion resistance will depend on the specification of the injection anchor in relation to its use. Supporting evidence that corrosion will not occur is not required if the steel parts of the metal injection anchor are protected against corrosion, as set out below:

Injection anchors intended for use in structures subject to dry, internal conditions:
No special corrosion protection is necessary for steel parts as coatings provided for preventing corrosion during storage prior to use and for ensuring proper functioning (e.g. a zinc coating with a minimum thickness of 5 microns) is considered sufficient.

Injection anchors for use in structures subject to external atmospheric exposure or exposure in permanently damp internal conditions:
The metal parts of the anchors shall be made of an appropriate grade of stainless steel. The grade of stainless steel suitable for the various service environments (marine, industrial, etc.) shall be in accordance with existing rules. Grade A4 of ISO 3506-1 and 2:2009 [4] or equivalent may be used under internal and external or other environmental conditions if no particularly aggressive conditions exist.

Injection anchors for use in structures subject to external atmospheric exposure or exposure in permanently damp internal conditions or particularly aggressive conditions:
If the anchor is to be used in particularly aggressive conditions such as permanent or alternate immersion in seawater or the splash zone of seawater, chloride atmosphere of indoor swimming pools or atmosphere with extreme chemical pollution (e.g. in desulphurization plants or road tunnels, where de-icing materials are used) stainless steel material 1.4529, 1.4565 and 1.4547 according to EN 10088- 3:2009 [5] can be used.

Where a form of protection (material or coating) other than those mentioned above is specified, it will be necessary to provide evidence in support of its effectiveness in the defined service conditions; with due regard to the aggressiveness of the conditions concerned.
If an anchor involves the use of different metals, these shall be electrolytically compatible with each other. In dry internal conditions, carbon steel is compatible with malleable cast iron.
Assessment of the durability of the coating is based on the type of coating and the intended conditions of use (i.e. dry internal or external conditions).
2.7.2.2 Durability of the bonding material

In the slice tests according to Annex A, A.5.10 it shall be shown that the bond strength of the slices stored in an alkaline liquid and sulphurous atmosphere media is at least as high as that of the bond strength of the comparison tests on slices stored under normal conditions.

To show compliance with this requirement of the slice tests the factor \( \alpha_3 \) shall be calculated according to Equation (2.7.1).

\[
\alpha_3 = \frac{\min \tau_{um(\text{stored})}}{\tau_{um,\text{dry}}} \quad (2.7.1)
\]

\( \min \tau_{um(\text{stored})} \) = minimum mean bond strength of the slices stored in different media
\( \tau_{um,\text{dry}} \) = mean bond strength of the comparison tests on slices stored under normal condition

The bond strength in the slice tests shall be calculated according to Equation (2.7.2)

\[
\tau_u = \frac{N_u}{\pi \cdot d \cdot h_{sl}} \quad (2.7.2)
\]

\( N_u \) = measured maximum load
\( d \) = diameter of the embedded part
\( h_{sl} \) = thickness of slice, measured values

If the value \( \alpha_3 \) is less than 1.0 for the tests in alkaline fluid and 0.9 for tests in sulphurous atmosphere then the characteristic resistance \( N_{Rk} \) shall be reduced according to 2.4.2.2.3.

Remark:
Slice tests in an alkaline liquid are required only for applications in use category w/w according to section 2.3.2.2 if the injection anchor is installed in
- masonry from normal weight or lightweight concrete masonry units
- joints of masonry made from clay or calcium silicate units filled with non-carbonated cementitious mortar

Slice tests may be omitted for applications in
- masonry made from normal weight or lightweight concrete masonry units if the characteristic resistance is calculated according to Equation (2.4.12) with \( \alpha_3 = 0.3 \)
- joints of masonry units made out of clay or calcium silicate filled with cementitious mortar, if the characteristic resistance of the anchor for the correspondent masonry unit given in the ETA is \( N_{Rk} \leq N_{Rk} \) (concrete brick) with \( N_{Rk} \) (concrete brick) calculated according to Equation (2.4.12) with \( \alpha_3 = 0.5 \) or the mortar is carbonated over the embedment depth of the anchor.
Carbonated mortar may be assumed if the structure is sufficiently old (e.g. \( \geq 15 \) years)
3 EVALUATION AND ATTESTATION OF CONFORMITY AND CE MARKING

3.1 System of attestation of conformity

According to the communication of the European Commission, the system of attestation of conformity laid down in Commission Decision 97/177/EC dated 17 February 1997 of the OJ L 073 dated 14 March 1997, is given in Table 3.1.

Table 3.1 System of attestation of conformity applicable to "Metal injection anchors for use in masonry"

<table>
<thead>
<tr>
<th>Product Intended use</th>
<th>Level(s) or class(es)</th>
<th>Attestation of conformity system</th>
</tr>
</thead>
<tbody>
<tr>
<td>Metal injection anchors for use in masonry for fixing and/or supporting to masonry, structural elements (which contributes to the stability of the works) or heavy units</td>
<td>1</td>
<td></td>
</tr>
</tbody>
</table>

The system of attestation of conformity referred to above is defined as follows:

System 1: Certification of the conformity of the product by a notified certification body on the basis of:

(a) Tasks for the manufacturer:
   (1) factory production control;
   (2) further testing of samples taken at the factory by the manufacturer in accordance with a prescribed test plan;

(b) Tasks for the notified body:
   (3) initial type-testing of the product;
   (4) initial inspection of factory and of factory production control;
   (5) continuous surveillance, assessment and approval of factory production control.
3.2 Tasks and responsibilities of the manufacturer and notified body

3.2.1 Tasks of the manufacturer

The corner stones of the actions to be undertaken by the manufacturer of “Metal injection anchors for use in masonry” in the procedure of attestation of conformity are laid down in Table 3.2. Table 3.2 is an example only; the control plan depends on the individual manufacturing process and has to be established between notified body and manufacturer for each product.

Table 3.2 - Control plan for the manufacturer; corner stones

<table>
<thead>
<tr>
<th>No</th>
<th>Subject/type of control</th>
<th>Test or control method</th>
<th>Criteria, if any</th>
<th>Minimum number of samples</th>
<th>Minimum frequency of control</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1)</td>
<td>Factory production control (FPC)</td>
<td>(1)</td>
<td>(2)</td>
<td>(3)</td>
<td>(4)</td>
</tr>
<tr>
<td></td>
<td>[including testing of samples in accordance with a prescribed test plan]</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1</td>
<td>Metal part/dimensions and tolerances</td>
<td>Measuring or optical</td>
<td>Laid down in control plan</td>
<td>3</td>
<td>Every shift or 8 hours of production per machine</td>
</tr>
<tr>
<td>2</td>
<td>Metal part/material properties e.g. tensile strength or hardness, elastic limit, elongation on rupture</td>
<td>e.g. tensile test, hardness testing Brinell or Vickers</td>
<td>Laid down in control plan</td>
<td>3</td>
<td>Every shift or 8 hours of production per machine</td>
</tr>
<tr>
<td>3</td>
<td>Metal part/ coating</td>
<td>Measuring of thickness</td>
<td>Laid down in control plan</td>
<td>3</td>
<td>Every shift or 8 hours of production per machine</td>
</tr>
<tr>
<td>4</td>
<td>Mortar/ components/mass</td>
<td>mass</td>
<td>Laid down in control plan</td>
<td>3</td>
<td>Every shift or 8 hours of production per machine</td>
</tr>
<tr>
<td>5</td>
<td>Mortar / condition</td>
<td>Laid down in control plan</td>
<td>2</td>
<td>Every shift or 8 hours of production per machine</td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>Mortar / density</td>
<td>Laid down in control plan</td>
<td>2</td>
<td>Every shift or 8 hours of production per machine</td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>Mortar / viscosity</td>
<td>Laid down in control plan</td>
<td>2</td>
<td>Every shift or 8 hours of production per machine</td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>Fingerprint of bonding material</td>
<td></td>
<td></td>
<td>each batch</td>
<td></td>
</tr>
</tbody>
</table>
### 3.2.2 Tasks for the notified body

The corner stones of the actions to be undertaken by the notified body in the procedure of attestation of conformity for "Metal injection anchors for use in masonry" are laid down in Table 3.3.

#### Table 3.3 - Control plan for the notified body; corner stones

<table>
<thead>
<tr>
<th>No</th>
<th>Subject/type of control</th>
<th>Test or control method</th>
<th>Criteria, if any</th>
<th>Minimum number of samples</th>
<th>Minimum frequency of control</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1)</td>
<td>Initial type-testing of the product (ITT)</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>(2)</td>
<td>Initial inspection of factory and factory production control (FPC)</td>
<td>-</td>
<td>Laid down in control plan</td>
<td>-</td>
<td>1/year</td>
</tr>
<tr>
<td>(3)</td>
<td>Continuous surveillance, judgment and assessment of factory production control (FPC)</td>
<td>-</td>
<td>Laid down in control plan</td>
<td>-</td>
<td>1/year</td>
</tr>
</tbody>
</table>

#### 3.3 CE marking and accompanying information


The packaging or the delivery tickets associated with the product shall contain the CE marking and the following accompanied information:
- The name and address of the producer (legal entity responsible for the manufacture),
- The last two digits of the year in which the CE marking was affixed,
- The number of the EC certificate of conformity for the product,
- The number of the European Technical Approval,
- The number of the ETAG
- Size of the anchor
- Use category
Example of CE marking and accompanying information:

<table>
<thead>
<tr>
<th>Letters &quot;CE&quot;</th>
</tr>
</thead>
<tbody>
<tr>
<td>Identification number of notified certification body</td>
</tr>
<tr>
<td>Any Company</td>
</tr>
<tr>
<td>Street 1, City, Country</td>
</tr>
<tr>
<td>10</td>
</tr>
<tr>
<td>1234-CPD-0321</td>
</tr>
<tr>
<td>ETA-06/2135</td>
</tr>
<tr>
<td>ETAG 029</td>
</tr>
<tr>
<td>M10 / Use category b,c and w/w</td>
</tr>
</tbody>
</table>

3.4 Marking of the product

Every injection anchor shall be clearly identifiable before installation\(^1\) and shall be marked by:

- the name or identifying mark of the producer
- the injection anchors identity (commercial name)
- the intended use (durability use, e.g. an additional mark for stainless steel anchors to distinguish them from non-stainless steel anchors). The intended use may be included in the injection anchor identity.
- the minimum anchorage depth or the maximum admissible thickness of the fixture
- if an injection anchor is designed for use at more than one anchorage depths while maintaining the same thread diameter, the anchorage depths available and used shall be discernible after installation of the injection anchor.

\(^{1}\) For use of commercial standard rods see 4.3

4 ASSUMPTIONS UNDER WHICH THE FITNESS FOR THE INTENDED USE IS ASSESSED

4.1 Design method for anchorages

The assessment of the injection anchor shall be made assuming that one of the design methods given in Annex C is used. However, if an alternative design method shall be proposed, the Approval Body shall judge this design method and the relevance of the assessment, in particular the relevance of the tests to be undertaken.

The overall assumption shall be made that the design and dimensioning of anchorages is based on technical considerations and in particular the following:

- the preparation of verifiable calculation notes and drawings for determining the relevant masonry in the region of the anchorage, the loads to be transmitted and their transmission to the supports of the structure.
- consideration not only of direct loads but also the important additional loads caused by restraint of intrinsic (e.g. shrinkage) or extrinsic deformation (e.g. by temperature variations) in the injection anchor, in the fixture or in the base material together with verification of the distribution of loads in these structures and assemblies.
4.2 Packaging, transport, storage of the product

Any special transport conditions shall be stated on accompanying documents.

Any special storage conditions shall be stated on packaging including
- Storage temperature range
- Restrictions such as keeping away from heat and direct sunlight
- Expiry date.

4.3 Installation of the product in the works

The loading capacity and reliability of anchorages are greatly affected by the manner in which the injection anchors are installed. The manufacturer’s installation instructions therefore form a fundamental part of the assessment of the fitness for use of an injection anchor.

This Guideline takes account of a reasonable degree of imperfection in relation to installation and thus control methods on site after installation will in general not be necessary. This assumes, however, that gross errors on site will be avoided by use of instructions and correct training of the installers and supervision on site.

The anchor shall be used only as supplied by the manufacturer without exchanging the components of an anchor. Commercial standard threaded rods, washers and hexagon nuts may also be used if the following requirements are fulfilled:

1. Material, dimensions and mechanical properties of the metal parts (rod, washer, nut) according to the specifications given in an Annex XX of the ETA

   Remark: The Annex XX has to contain all details of the metal parts.

   The material of stainless steel is given according to EN 10088:2009 [5], the mechanical properties according to EN ISO 898-1 and 2:2009 [8] (galvanised steel) and according to EN ISO 3506-1 and 2:2009 [4] (stainless steel) respectively.

2. Confirmation of material and mechanical properties of the metal parts by inspection certificate 3.1 according to EN 10204:2004 [9]; the documents shall be stored.

3. Marking of the rod with the envisaged embedment depth. This may be done by the manufacturer of the rod or the person on job site.

Installation instructions shall typically include the following:

- Before placing an injection anchor, the checks to be made to ensure that the use category applies and the strength class, density etc. of the base material is not lower than that to which the characteristic loads apply.
- Holes to be drilled perpendicular to the surface unless specifically required otherwise by the manufacturer’s instructions.
- Normally hard metal hammer-drill bits in accordance with ISO or National Standards shall be used.
- All special drill bits (e.g. stopdrills or diamond core drill bits) required in accordance with manufacturer’s installation instructions to be in compliance with the manufacturer’s specifications. This may be checked by comparing the drill bit manufacturer’s declared performance and characteristics against the specifications of the anchor manufacturer."
- Instructions for hole cleaning shall specify in detail the type of cleaning equipment to be used, e.g. the volume of blow out pump and diameter and material of brush, together with the precise cleaning procedure including the number and order of blowing/brushing actions.
- Injection anchors to be installed ensuring not less than the specified embedment depth. The edge distance and spacing to be kept to the specified values, no minus tolerances to be allowed.
- Remark to the different installation temperature

   Temperature limits

   The following temperature limits shall be specified:
   - Installation ambient temperature range
   - Bonding material installation temperature range.

   Operational time limits

   Open time and curing time shall be stated in relation to the relevant temperature limits, e.g.:
   - Open time related to bonding material installation temperature
   - Curing time in relation to installation ambient temperature
If tables are used to indicate times-versus-temperature ranges, they shall be inclusive so that the relevant time is clear for all temperatures within the appropriate range. An accepted example is given in the following:

<table>
<thead>
<tr>
<th>Installation ambient temperature °C</th>
<th>Curing time (minutes)</th>
</tr>
</thead>
<tbody>
<tr>
<td>E.g. 5 - 15</td>
<td>120 min</td>
</tr>
<tr>
<td>16 - 25</td>
<td>60 min</td>
</tr>
</tbody>
</table>

The following example is not accepted:

<table>
<thead>
<tr>
<th>Installation ambient temperature °C</th>
<th>Curing time (minutes)</th>
</tr>
</thead>
<tbody>
<tr>
<td>5</td>
<td>120 min</td>
</tr>
<tr>
<td>15</td>
<td>60 min</td>
</tr>
</tbody>
</table>

When curing times are stated it shall be made clear that this is the earliest time that the injection anchor may be torqued or loaded. A longer waiting time may be recommended for proof of ultimate load tests on site, if so, this shall be stated.

Finally it is assumed that the necessary information and appropriate specifications for correct installation are available on site and that the person responsible transmits all the necessary information to the installer. It is to be further assumed that installation is carried out by trained personnel under the supervision of the person responsible for technical matters on site. Where pictograms are used their meaning shall be clear and unambiguous. If necessary, text in the appropriate language shall be added to clarify the meaning.

5 IDENTIFICATION OF THE CONSTRUCTION PRODUCT

In order to ensure that the injection anchor samples used for the initial assessment conform to the specification referred to in the approval, it is necessary to identify their relevant specifications and characteristics which can influence their functioning, performance or durability.

Identification tests are for verifying the characteristics of the injection anchors, including dimensions, constituent materials, anti-corrosion protection and the marking of the injection anchors and various components.

Wherever possible, checks shall be carried out on finished components. Where dimensions or other factors prevent testing to a recognized standard, e.g. tensile properties where the required ratio of length to diameter does not exist in the finished component, then the tests shall still be carried out on the finished component if practicable, in order to produce results for comparison purposes. Where this is not possible, tests shall be carried out on the raw material; however, it shall be noted that where the production process changes the characteristics of the material, then a change to the production process can render the results of these tests invalid.

A minimum number of each component depending on factors such as the production process and the bag size is to be taken and dimensions measured and checked against the drawings provided by the manufacturer. The tolerances specified for all components shall be complied with and the dimensions of these elements shall conform to the appropriate ISO or European standards where relevant.

The results obtained shall be assessed to ensure that they are within the manufacturer’s specification.

The product which is the subject of the European Technical Approval shall be identified by:
- Testing of product characteristics as laid down in the following.
- Fingerprinting.
- Formulation.
- Manufacturing process parameters.
- Calculations, detailing, drawings.
Metal parts:
During tests on the constituent materials of the components of the metal parts, the following properties shall be determined: tensile strength, elastic limit, elongation at rupture, hardness. The measured values shall be compared with minimum values or strength classes indicated in ISO or European standards. The manner in which the components are fabricated shall also be checked (e.g. cold-forming, quenching, hardening). For the testing of carbon steel screws, bolts, nuts, reference can be made to ISO 898-1 and 2:2009. For the testing of stainless steel screws, bolts, nuts reference can be made to ISO 3506-1 and -2:2009. For quenched components, the surface hardness and case depth are to be determined. Hardness testing shall be by either the Brinell or Vickers methods. Wherever possible, the material declaration, according to the relevant material standard, shall be provided.

Bonding material:
All components of the bonding materials shall be described in a chemically unambiguous way and be identified by standard tests (e.g. fingerprinting tests). All component amounts shall be specified either by mass, volume or percentage, with appropriate tolerances.

The following characteristics shall be specified where relevant in accordance with ISO, European or national standards, together with any others as appropriate.

1 Organic Bonding agents
Resin, hardener and additives shall be identified by the following tests:
- density
- viscosity
- ignition loss and ash content
- conventional dry extract
- grain size analysis
- tensile strength
- bending strength
- compressive strength
- open time
- reactivity (gel or setting time) (this may be tested with a standardised formulation, not necessarily that specified for the bonding anchor).

In addition, the following tests are necessary:

Resin and hardener cured by polyaddition mechanism
Epoxies
- epoxy index (equivalent)
- amine equivalent

Polyurethanes
- hydroxyl equivalent
- isocyanate equivalent

Resin and hardener cured by polymerisation
Unsaturated polyester, vinylester (epoxymethacrylates) and vinylesterurethanes (urethanmethylacrylates)
- hardener (catalyst) content of peroxide

Methylmethacrylates (MMA)
- hardener, content of peroxide

Filler
- specification of filler material (e.g. tested by density) including type
- specification of filler shape (e.g. fibre, balls, ...)
- grain size analysis
2 Inorganic bonding agents
- material specification by chemical analysis
- active binder batching
- grain size analysis
- density
- dry extract
- setting test
- shrinkage and swelling test
- bend and compressive strength at 7 and 28 days
- ignition loss and ash content

Filler, additives
- specification of filler material and additives
- specification of filler shape

6 FORMAT OF ETAs ISSUED ON THE BASIS OF THE ETAG
European Technical Approvals issued on the basis of this ETAG shall be in accordance with the ETA format given in the Addendum to the ETAG.

7 REFERENCE DOCUMENTS